

# ESF CONFERENCES

A Portuguese European Union Presidency and European Commission Event, Initiated and Organised by the European Science Foundation (ESF) & the US Office of Research Integrity (ORI), in Partnership with the International Council for Science (ICSU) & the North Atlantic Treaty Organisation (NATO)

# ESF-ORI First World Conference on Research Integrity: Fostering Responsible Research

Calouste Gulbenkian Foundation, Lisbon, Portugal 16-19 September 2007

Chairs: **Mr. Tony Mayer**, European Science Foundation (ESF), Strasbourg, FR & **Professor Nick Steneck**, Office of Research Integrity (ORI), Rockville, US

# www.esf.org/conferences/researchintegrity

With Additional Support from:

- European Molecular Biology Organization (EMBO)
- Committee on Publication Ethics (COPE)
- Portuguese Ministry of Science, Technology and Higher Education (MCTES)
- Portuguese Science Foundation (FCT)
- Calouste Gulbenkian Foundation (FCG)
- UK Research Integrity Office (UKRIO)



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# **Conference Programme**

Last updated 13 September 2007

# SUNDAY, 16 SEPTEMBER

14.30-18.30	Registration (Hotel Marriott Lisboa - Hotel Lobby)
18.30-22.00	Opening Ceremony (New York Meeting-Room), Reception (Outside, near the Swimming
	Pool) & Informal Buffet Dinner (Citrus Restaurant)

# MONDAY, 17 SEPTEMBER

08.30-09.00	Registration (Gulbenkian Foundation)
09.00-09.10	Conference Opening (room: Auditorio 2)
	<ul> <li>Tony Mayer</li> <li>European Science Foundation &amp; Conference Co-chair, Strasbourg, FR Origins and Organization of the World Conference</li> <li>Nicholas Steneck</li> <li>Office of Research Integrity &amp; Conference Co-chair, Rockville MD, US Definitions, Goals, and Outcomes</li> </ul>
09.10-10.40	Opening Session (room: Auditorio 2) Chairs: Manuel Heitor, Secretary of State of Science, Technology and Higher Education, Lisbon, PT João Caraça, Calouste Gulbenkian Foundation, Lisbon, PT
	Opening Talks
	<ul> <li>Jose-Mariano Gago</li> <li>Portuguese Minister of Science, Technology and Higher Education, Lisbon, PT</li> <li>Janez Potočnik</li> </ul>
	European Commissioner for Research, European Commission, Brussels, BE European Research: Towards Highest Standards of Integrity • Angel Gurría
	<ul> <li>Anger Ourna</li> <li>Secretary-General, Organisation for Economic Co-operation and Development (OECD), Paris, FR</li> <li>Tim Hunt</li> </ul>
	Cancer Research UK, South Mimms, UK and European Molecular Biology Organization (EMBO)
	Keynote Address Paul David Oxford University, UK & Stanford University, Palo Alto CA, US Trust and Deviance in 'Open Science': Analytical and Empirical Perspectives on the Problem of Scientific Misconduct
	Closing Remarks  Jose-Mariano Gago Portuguese Minister of Science, Technology and Higher Education, Lisbon, PT

10.40-11.20 Coffee Break

11.20-13.00	Plenary I - Global & Institutional Perspectives on Research Integrity (room: Auditorio 2) Chairs: Ian Halliday, President, European Science Foundation (ESF), Strasbourg, FR Antonio Coutinho, Gulbenkian Institute for the Sciences & Lisbon Academy of Medical
	Sciences, Lisbon, PT
	University of Ottawa, CA & Co-Chair, InterAcademy Panel, Trieste, IT Research Integrity: Best Practices for the Benefit of Society
	Guitelle Baghdadi-Sabeti World Health Organisation (WHO), Geneva, CH Promoting Good Governance for Medicines
	<ul> <li>Ayse Erzan</li> <li>Istanbul Technical University, TR</li> <li>Developing and Applying Integrity Policies in a Global Context</li> </ul>
	Discussion
13.00-14.30	Lunch (VIP Zurique)
14.30-16.10	Plenary II - Integrity in Publication: Expectations, Problems,
	Solutions (room: Auditorio 2) Chairs: Roger Elliott, International Council for Science (ICSU), Paris, FR Luis Magalhães, Portuguese Knowledge Society Agency, Porto Salvo, PT
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	<ul> <li>Chairs: Roger Elliott, International Council for Science (ICSU), Paris, FR Luis Magalhães, Portuguese Knowledge Society Agency, Porto Salvo, PT</li> <li>Anthony Komaroff Harvard Medical School, Boston MA, US</li> </ul>
	<ul> <li>Chairs: Roger Elliott, International Council for Science (ICSU), Paris, FR Luis Magalhães, Portuguese Knowledge Society Agency, Porto Salvo, PT</li> <li>Anthony Komaroff Harvard Medical School, Boston MA, US Public Understanding and Trust of Biomedical Research</li> <li>Joao Lobo Antunes Hospital de Santa Maria, Lisbon, PT To Publish or not to Publish: Communicating Science in a New Global and Financial Environment</li> <li>Philip Campbell Nature, London, UK Misconduct in Research Publishing: Who is Responsible for What?</li> </ul>
	<ul> <li>Chairs: Roger Elliott, International Council for Science (ICSU), Paris, FR Luis Magalhães, Portuguese Knowledge Society Agency, Porto Salvo, PT</li> <li>Anthony Komaroff Harvard Medical School, Boston MA, US Public Understanding and Trust of Biomedical Research</li> <li>Joao Lobo Antunes Hospital de Santa Maria, Lisbon, PT To Publish or not to Publish: Communicating Science in a New Global and Financial Environment</li> <li>Philip Campbell Nature, London, UK</li> </ul>
	<ul> <li>Chairs: Roger Elliott, International Council for Science (ICSU), Paris, FR Luis Magalhães, Portuguese Knowledge Society Agency, Porto Salvo, PT</li> <li>Anthony Komaroff Harvard Medical School, Boston MA, US Public Understanding and Trust of Biomedical Research</li> <li>Joao Lobo Antunes Hospital de Santa Maria, Lisbon, PT To Publish or not to Publish: Communicating Science in a New Global and Financial Environment</li> <li>Philip Campbell Nature, London, UK Misconduct in Research Publishing: Who is Responsible for What?</li> <li>Sabine Kleinert The Lancet, London, UK &amp; Vice-Chair, Committee on Publication Ethics (COPE), London, UK</li> </ul>

# 16.50-18.30 Concurrent Sessions I

# Track Ia - Research Misconduct - Current Policies and New Initiatives (room: Auditorio 3)

Chairs: Stefan Michalowski, Global Science Forum, Paris, FR

Jun Fudano, Kanazawa Institute of Technology, Nonoichi, JP

Salla Lötjönen, National Advisory Board on Research Ethics, Helsinki, FI

Nigel Lloyd

Natural Sciences & Engineering Research Council Canada (NSERC), Ottawa, CA GSF Working Group on Research Misconduct and Pending Report

Lida Anestidou

The National Academies, Washington DC, US Overview of Current Policies and Policy Development

• Pieter J.D. Drenth

ALL European Academies (ALLEA), Amsterdam, NL Strengths and Weaknesses of Current Policies and Practices

Discussion

Track IIa - Institutional and Societal Issues - Challenges for Global Research (room: Sala 1)

Chairs: Megan Davies, UK Medical Research Council (MRC), Cambridge, UK

Carmo Fonseca, Institute of Molecular Medicine (IMM), Lisbon, PT

Amaboo Dhai

Steve Biko Centre for Bioethics, Parktown, ZA Preserving, Protecting and Improving Research Integrity in Africa: Challenges and Recommendations

Tom Kirchhausen

Harvard Medical School, Boston MA, US Challenges Faced by the Leading Investigator to Foster Responsible Research

Shamila Nair-Bedouelle

UNESCO, Paris, FR COMEST's Role in Fostering Integrity in Research

Discussion

# Track IIIa - Publication - The Role of Editors and Journals (room: Sala 2)

Chairs: Ana Marusic, Zagreb University, HR & Council of Science Editors (CSE), Reston MD, US Sabine Kleinert, The Lancet, London, UK & Vice-Chair, Committee on Publication Ethics (COPE), London, UK

Liz Wager

Publications Consultant, Buckinghamshire, UK and Council Member, Committee on Publication Ethics (COPE)

Current Best Practices: Conflict of Interest, Trial Registration, and other Codes

Katrina Kelner

AAAS, Science, Washington DC, US Science Magazine's Response to the Changing Face of Science

## Michael Rossner

Executive Director, The Rockefeller University Press, New York NY, US The Role of the Journal Editor in Assuring the Integrity of Research Data

Discussion

19.30-22.00 Conference Dinner (Hotel Marriott Lisboa - Mediterranean Ballroom)

# **Chair / Introduction**

João Sentieiro

Portuguese Science and Technology Foundation, Lisbon, PT

## **Keynote Address**

M.G.K. Menon
Indian International Center, New-Delhi, IN

# **TUESDAY, 18 SEPTEMBER**

08.30-09.00	Registration (Gulbenkian Foundation)
09.00-10.40	Plenary III - Confronting Research Misconduct: Policies, Standards and Guidelines (room: Auditorio 2) Chairs: Chris Pascal, Director, Office of Research Integrity, Rockville, US Nigel Lloyd, Natural Sciences & Engineering Research Council Canada (NSERC), Ottawa, CA
	<ul> <li>Christine Boesz</li> <li>National Science Foundation (NSF), Washington DC, US Legal Aspects of Investigations and International Cooperation</li> <li>Herbert Gottweis</li> <li>University of Vienna, Vienna, AT Hwang-gate: Lessons for Science Governance</li> <li>Motoyuki Ono</li> <li>President, Japan Society for the Promotion of Science (JSPS), Tokyo, JP The Development of Codes, Standards, and Guidelines in Japan</li> <li>Ulrike Beisiegel</li> <li>University Medical Center, Hamburg-Eppendorf &amp; DFG Ombudsman, Hamburg, DE Safeguarding Good Scientific Practice - Recommendations of the German Research Foundation</li> <li>Discussion</li> </ul>
10.40-11.20	Coffee Break
11.20-13.00	Concurrent Sessions II
<ul> <li>Track Ib - Research Misconduct - Reporting, Investigation, and Adjudication (room: Auditorio 3)</li> <li>Chairs: Frank Wells, European Forum for Good Clinical Practice (EFGCP), Ipswich, UK</li> <li>Sylvia Rumball, Committee on Freedom and Responsibility in the Conduct of Science (CFRS), ICSU, Paris, FR &amp; Massey University, Palmerston North, NZ</li> </ul>	

Masaki Nakamura, National Institute of Science and Technology Policy, MEXT, Tokyo, JP

Chris Pascal
 Office of Research Integrity (ORI), Rockville MD, US
 Managing Cases of Research Misconduct

Andrew Stainthorpe

Discussion

UK Research Integrity Office (UKRIO), London, UK Approaches to Case Handling

	stitutional & Societal Issues - Educating for Responsible Research
(room: Sala 1)	
Chairs: Philippe Galiay, European Commission, Brussels, BE	
Phil Langlais, Old Dominion University, Norfolk VA, US	
	<ul> <li>Ian Halliday</li> </ul>
	President, European Science Foundation (ESF), Strasbourg, FR Integrating Responsibility: The Research Student Perspective
	<ul> <li>Fernando Lopes da Silva</li> </ul>
	University van Amsterdam, NL
	Integrity in Biomedical Research: The Role of Education
	<ul> <li>Elizabeth Heitman</li> </ul>
	Vanderbilt University, Nashville TN, US
	The Need for Global Strategies for Training Students in RCR
	Discussion
Track IIIb - Publication - The Role of Publishers, Funders and Research Institutions (room: Sala 2)	
Chairs: Katrina	Kelner, AAAS, Science, Washington DC, US
	er, Publications Consultant, Buckinghamshire, UK and Council Member,
Committee	on Publication Ethics (COPE)
	<ul> <li>Michael Farthing</li> </ul>
	University of London, Vice Chancellor, University of Sussex, Brighton, UK and UK Research Integrity Office
	(UKRIO), London, UK Institutional Perspectives on Integrity in Publication
	Chris Graf
	Publisher, International Journal of Clinical Practice, Wiley-Blackwell Publishing, Oxford, UK
	What are Publishers Doing about Publication Ethics?
	Peteris Zilgalvis
	European Commission, Brussels, BE
	Role of Funders in Publication Ethics
	Discussion
13.00-14.30	Lunch (VIP Zuriaue)

# 14.30-16.10 Concurrent Sessions III

#### Track Ic: Research Misconduct - International Cooperation (room : Auditorio 3) Chairs: Jacques Bordé, Centre National de la Recherche Scientifique (CNRS) Paris, FR William Gardner, The Ohio State University, Columbus OH, US

## Peggy Fischer

US National Science Foundation (NSF), Washington DC, US Investigating Allegations of Research Misconduct across International Borders: Principles and Practices

## Matthias Kaiser

The National Committee for Research Ethics in Science and Technology (NENT), Oslo, NO *Scientific Integrity on the Agenda – What are the Next Challenges?* 

#### Paul David

Oxford University, UK & Stanford University, Palo Alto CA, US

#### Andrea Pozzi

Stanford University, Palo Alto CA, US

Empirical Realities of Scientific Misconduct in Publicly Funded Research: What can be Learned from U.S. Data Published by the ORI?

#### Discussion

# Track IIc: Institutional and Societal Issues - Public Perceptions and

Responsibilities (room: Sala 1)

Chairs: Ligia Amâncio, Portuguese Science and Technology Foundation, Lisbon, PT

Andrew Gorski, Polish Academy of Sciences and Medical University of Warsaw, PL

#### Lawrence Bell

Museum of Science, Boston MA, US Who Do You Trust: Science Museums as Forums for Conversations between Scientists and the Public

# Carlos Fiolhais

University of Coimbra, PT Scientific Integrity and Scientific Culture: Telling the Truth to the Public

Paul Caro
 Académie des Technologies & CNRS, Paris, FR
 Science and the Show Society

# Vladimir de Semir

University Pompeu Fabra, Barcelona, ES *Citizenship and Scientific Culture* 

Discussion

Track IIIc: Publication - The Challenges Faced by Smaller Journals (room: Sala 2) Chairs: Arian Poldermann, EASE, Woerden, NL Vedran Katavic, Croatian Medical Journal, Zagreb, HR	
	<ul> <li>Annette Flanagin Journal of the American Medical Association (JAMA), Chicago IL, US Muza Gondwe Malawi Medical Journal and Malawi College of Medicine, Blantyre, MW African Journal Partnership Project <ul> <li>Ana Marusic</li> </ul> </li> <li>Zagreb University, Zagreb, HR &amp; Council of Science Editors (CSE), Reston MD, US Education for Responsible Publication <ul> <li>Herbert Stegemann</li> </ul> </li> <li>World Association of Medical Editors (WAME), London, UK &amp; President, Asociación de Editores de Revistas Biomédicas Venezolanas (ASEREME), Caracas, VE The Potential of Local Editors Associations in Promoting Ethics in Publication. The Experience in Venezuela with ASEREME</li> </ul>
16.10-16.50	Coffee Break
16.50-18.30	<ul> <li>Plenary IV - Science Culture and Training for Responsible Research Conduct (room: Auditorio 2)</li> <li>Chairs: Jean-Pierre Alix, Ministry of Research &amp; Centre National de la Recherche Scientifique (CNRS) Paris, FR</li> <li>Ana Noronha, Ciência Viva, National Agency for Science Culture, Lisbon, PT</li> </ul>
	<ul> <li>Helena Illnerova</li> <li>Academy of Sciences of the Czech Republic, Prague, CZ Trust in Research and the Importance of Training</li> <li>Alex Quintanilha</li> <li>Institute for Molecular and Cell Biology, Porto, PT Individual Integrity and Institutional Trust</li> <li>Sally J. Rockey</li> <li>National Institutes of Health (NIH), Bethesda MD, US Integrating Integrity into the Research Process though Training</li> <li>Melissa S. Anderson</li> <li>University of Minnesota, Minneapolis MN, US Educating Competent, Responsible, and Successful Researchers</li> <li>Discussion</li> </ul>
18.30	End of day's session. No formal dinner planned. Enjoy Lisbon with colleagues

# WEDNESDAY, 19 SEPTEMBER

08.30-09.00	Registration (Gulbenkian Foundation)
09.00-10.40	Plenary V - Factors Affecting Research Behavior and Integrity (room: Auditorio 2) Chairs: Maurizio Salvi, European Commission, Brussels, BE David Vaux, La Trobe University, Melbourne, AU
	<ul> <li>Nicholas Steneck</li> <li>Office of Research Integrity (ORI), Rockville MD, US</li> <li>What Do We Know? Two Decades of Research on Research Integrity</li> <li>Brian Martinson</li> <li>HealthPartners Research Foundation (HPRF), Minneapolis MN, US</li> <li>Social and Psychological Factors Influencing Research Behavior</li> <li>Gün Semin</li> <li>Free University of Amsterdam, Amsterdam, NL</li> <li>Enhancing the Self-monitoring Function of Science and its Contribution to Integrity in Research</li> <li>Renzong Qiu</li> <li>Chinese Academy of Social Sciences (CASS), Beijing, CN</li> <li>Research Integrity Issues in China</li> </ul>
	Discussion
10.40-11.20	Coffee Break
11.20-13.00	Closing Session: Public Policies and Strategies Fostering Research Integrity (room: Auditorio 2) Chairs: Peteris Zilgalvis, Research Directorate, European Commission, Brussels, BE Sally Rockey, National Institutes of Health (NIH), Bethesda MD, US Diogo Lucena, Calouste Gulbenkian Foundation, Lisbon, PT
	Conference Summary
	<ul> <li>Conference Summary</li> <li>Peter Tindemans EuroScience, Strasbourg, FR </li> <li>Ovid Tzeng National Yang Ming University, Taipei, TW </li> <li>Closing Remarks <ul> <li>Par Omling</li> <li>Swedish Research Council &amp; EUROHORCs, Stockholm, SE</li> <li>Joel Hasse Ferreira</li> <li>European Parliament, Brussels, BE</li> <li>Manuel Heitor</li> </ul> </li> <li>Secretary of State of Science, Technology and Higher Education, Lisbon, PT</li> <li>Official Conference Closing</li> <li>Tony Mayer</li> <li>European Science Foundation (ESF) &amp; Conference Co-chair, Strasbourg, FR</li> <li>Nicholas Steneck</li> <li>Office of Research Integrity (ORI) &amp; Conference Co-chair, Rockville MD, US</li> </ul>